

APPENDIX A

ORGANIZATIONS INVOLVED IN NFHS-2 FIELDWORK

Field Organization	State(s)
ACNielsen Research Services Pvt. Ltd. B-4/58, 2 nd Floor, Safdarjung Enclave New Delhi-110 029	Assam Uttar Pradesh
Centre for Operations Research and Training 402, Woodland Apartments, Race Course Vadodara-390 007	Maharashtra Rajasthan
Centre for Population and Development Studies G-3, Shanthi Apartments Anand Nagar Colony, Khairatabad Hyderabad-500 004	Madhya Pradesh Orissa
Centre for Research in Rural and Industrial Development 2-A, Sector 19-A Madhya Marg, Chandigarh-160 019	Haryana Himachal Pradesh Punjab
Economic Information Technology S-4, Metropolitan Co-operative Housing Society Ltd. Sector A, Lane No. 8 Calcutta-700 039	Sikkim West Bengal
Indian Institute of Health and Family Welfare Vengalrao Nagar Hyderabad-500 038	Andhra Pradesh
ORG Centre for Social Research A Division of ORG-MARG Research Ltd. D-24, South Extension Part I New Delhi-110 049	Bihar Jammu and Kashmir Kerala
Population Research Centre Department of Statistics Faculty of Science M.S. University of Baroda Lokmanya Tilak Road, Vadodara-390 002	Gujarat
Population Research Centre Institute for Social and Economic Change Nagarbhavi P.O., Bangalore-560 072	Karnataka
Population Research Centre Institute of Economic Growth University Enclave Delhi-110 007	Delhi
Population Research Centre J.S.S. Institute of Economic Research Vidyagiri, Dharwad-580 004	Goa
Population Research Centre The Gandhigram Institute of Rural Health and Family Welfare Trust Ambathurai R.S., Dindigul District Tamil Nadu-624 302	Tamil Nadu
TNS Mode Research Private Limited 1796-A Kotla Mubarkpur Behind NDSE-I New Delhi-110 003	Arunachal Pradesh, Manipur, Meghalaya, Mizoram, Nagaland, Tripura

APPENDIX B

SAMPLE CHARACTERISTICS FOR STATES

The sample design for NFHS-2 is described in Chapter 1 and more detailed information about the sample design for each state is contained in the individual state reports for NFHS-2. Table B.1 summarizes the basic characteristics of the sample design in each state including the variables used for rural stratification and the number of primary sampling units (PSUs) selected in urban and rural areas. A total of 1,021 urban PSUs and 2,144 rural PSUs were selected for the sample. In rural areas, the sample was stratified according to a number of variables in each state, including regions (group of districts, *tehsils*, or *talukas*), subregions, village size, percent of males in the nonagricultural sector, percent of scheduled castes and scheduled tribes, and female literacy.

Table B.1 Sample characteristics

State	Population ¹ (1991)	Variables for rural stratification	Number of primary sampling units		
			Urban	Rural	Total
Andhra Pradesh	66,508,008	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	36	97	133
Arunachal Pradesh	864,558	Region (group of <i>tehsils</i>) Village size Percent of SC/ST population Female literacy (implicit)	6	44	50
Assam	22,414,322	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	33	67	100
Bihar	86,374,465	Region (group of districts) Subregions Village size Percent of SC/ST population Female literacy (implicit)	31	202	233
Delhi	9,420,664	Village size Female literacy (implicit)	90	10	100
Goa	1,169,793	Region (group of <i>talukas</i>) Female literacy (implicit)	20	30	50
Gujarat	41,309,582	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	46	87	133
Haryana	16,463,648	Region (group of districts) Village size Percent of males in nonagricultural sector Female literacy (implicit)	33	67	100
Himachal Pradesh	5,170,877	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	33	67	100
Jammu & Kashmir	7,718,700	Region (group of districts) Village size Female literacy (implicit)	50	67	117
Karnataka	44,977,201	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	41	92	133
Kerala	29,098,518	Region (group of districts) Village size Female literacy Percent of males in nonagricultural sector (implicit)	33	67	100
Madhya Pradesh	66,181,170	Region (group of districts) Village size Percent of males in nonagricultural sector Percent of SC/ST population Female literacy (implicit)	56	177	233
Maharashtra	78,937,187	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	136	82	218

Table B.1 Sample characteristics (contd.)

State	Population ¹ 1991	Variables for rural stratification	Number of primary sampling units		
			Urban	Rural	Total
Manipur	1,837,149	Region (group of <i>tehsils</i>) Village size Female literacy (implicit)	14	36	50
Meghalaya	1,774,778	Region (group of <i>tehsils</i>) Village size Female literacy (implicit)	9	41	50
Mizoram	689,756	Region (group of <i>tehsils</i>) Village size Female literacy (implicit)	23	27	50
Nagaland	1,209,546	Region (group of <i>tehsils</i>) Village size Female literacy (implicit)	9	41	50
Orissa	31,659,736	Region (group of districts) Village size Female literacy Percent of SC/ST population (implicit)	33	100	133
Punjab	20,281,969	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	33	67	100
Rajasthan	44,005,990	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	53	180	233
Sikkim	406,457	Region (group of districts) Village size Female literacy (implicit)	5	45	50
Tamil Nadu	55,858,946	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	70	88	158
Uttar Pradesh	139,112,287	Region (group of districts) Subregions Village size Percent of SC/ST population Percent of males in nonagricultural sector Female literacy (implicit)	67	266	333
West Bengal	68,077,965	Region (group of districts) Village size Percent of SC/ST population Female literacy (implicit)	61	97	158
India	841,523,272	--	1021	2144	3165

SC: Scheduled caste

ST: Scheduled tribe

¹The population shown is the 1991 Census population, excluding persons living in villages with fewer than five households.

APPENDIX C

ESTIMATES OF SAMPLING ERRORS

Two types of errors affect the estimates from a sample survey: (1) nonsampling errors and (2) sampling errors. Nonsampling errors are the result of errors committed during data collection and data processing, such as failure to locate and interview the correct household, misunderstanding of the questions on the part of either the interviewer or the respondent, and data entry errors. Although numerous efforts were made during the implementation of NFHS-2 to minimize nonsampling errors, they are impossible to avoid and difficult to evaluate statistically.

Sampling errors, on the other hand, can be evaluated statistically. The sample of women selected in NFHS-2 is only one of many samples that could have been selected from the same population, using the same design and expected sample size. Each of these samples would yield results that differ somewhat from the results of the actual sample selected. The sampling error is a measure of the variability among all possible samples. Although the degree of variability is not known exactly, it can be estimated from the survey results.

The sampling error is usually measured by the *standard error* for a particular statistic (for example, a mean or percentage), which is the square root of the variance. The standard error can be used to calculate confidence intervals within which the true value for the population can reasonably be assumed to fall. For example, for any given statistic calculated from a sample survey, the value of that statistic will fall within a range, calculated as the value of the statistic plus or minus two times the standard error of that statistic in 95 percent of all possible samples of identical size and design.

If the sample of women had been selected as a simple random sample, it would have been possible, for many statistics, to use straightforward formulas for calculating sampling errors. However, the NFHS-2 sample is the result of a multi-stage stratified sample design, and it is therefore necessary to use more complex formulas. The computer software used to calculate sampling errors for NFHS-2 is ISSA (the Integrated System for Survey Analysis). The linear Taylor series approximation method for variance estimation is used for estimates of means, proportions and ratios. The JACKKNIFE repeated replication method is used with ISSA for variance estimation for more complex statistics such as fertility and mortality rates.

The ISSA package treats any percentage or average as a ratio estimate, $r = y/x$, where y represents the sample value for variable y , and x represents the number of cases in the group or subgroup under consideration. The variance of r is computed using the formula given below, with the standard error being the square root of the variance:

$$\text{var}(r) = \frac{1-f}{x^2} \sum_{h=1}^H \left[\frac{m_h}{m_h - 1} \left(\sum_{i=1}^{m_h} z_{hi}^2 - \frac{z_h^2}{m_h} \right) \right]$$

in which

$$z_{hi} = y_{hi} - rx_{hi}$$

$$z_h = y_h - rx_h$$

where

- h = the stratum that varies from 1 to H,
- m_h = the total number of PSUs selected in the h^{th} stratum,
- y_{hi} = the sum of the values of variable y in PSU i in the h^{th} stratum,
- x_{hi} = the sum of the number of cases in PSU i in the h^{th} stratum,
- f = the overall sampling fraction, which is so small that the program ignores it.

In addition to the standard error, ISSA computes the design effect (DEFT) for each estimate, which is defined as the ratio of the standard error using the given sample design to the standard error that would result if a simple random sample had been used. A DEFT value of 1.0 indicates that the sample design is as efficient as a simple random sample, while a value greater than 1.0 indicates the increase in the sampling error due to the use of a more complex and less statistically efficient design. ISSA also computes the relative standard error and confidence limits for the estimates.

Sampling errors for NFHS-2 are calculated for selected variables considered to be of primary interest. The results in this appendix are presented for the country as a whole and for urban and rural areas separately, except for the variable on salt iodization for which the results are shown separately for large cities, small cities, towns, and rural areas. For each variable, the type of statistic (mean, proportion, ratio, or rate) and the base population are given in Table C.1. Table C.2 presents the value of the statistic (R), its standard error (SE), the relative standard error (SE/R), and the 95 percent confidence limits ($R \pm 2SE$), for each variable. In addition, for all variables except the fertility and mortality rates, the table shows the unweighted number of cases (N), the weighted number of cases (WN), the standard error assuming a simple random sample (SER), and the design effect (DEFT).

Table C.1 List of selected variables for sampling errors, India, 1998–99

Variable	Estimate	Base population
Sex ratio	Ratio	<i>De facto</i> household population
Illiterate	Proportion	<i>De facto</i> household population age 6 and above
Have tuberculosis	Rate	1,000 <i>de jure</i> household population
Salt iodized at 15 ppm or more	Proportion	Households
Illiterate	Proportion	Ever-married women 15–49
High school complete and above	Proportion	Ever-married women 15–49
Currently married	Proportion	Ever-married women 15–49
Number of children ever born	Mean	Currently married women 15–49
Number of children surviving	Mean	Currently married women 15–49
Have ever used any method	Proportion	Currently married women 15–49
Currently using any method	Proportion	Currently married women 15–49
Currently using any modern method	Proportion	Currently married women 15–49
Currently using pills	Proportion	Currently married women 15–49
Currently using IUD	Proportion	Currently married women 15–49
Currently using condoms	Proportion	Currently married women 15–49
Currently using female sterilization	Proportion	Currently married women 15–49
Currently using male sterilization	Proportion	Currently married women 15–49
Currently using rhythm/safe period	Proportion	Currently married women 15–49
Using public source for modern method	Proportion	Current users of modern methods
Do not want any more children	Proportion	Currently married women 15–49
Want to delay birth at least 2 years	Proportion	Currently married women 15–49
Ideal number of children	Mean	Ever-married women 15–49
Ideal number of sons	Mean	Ever-married women 15–49
Ideal number of daughters	Mean	Ever-married women 15–49
Visited by health/family planning worker	Proportion	Ever-married women 15–49
Received no antenatal check-up	Proportion	Births in the past 3 years
Received iron and folic acid tablets or syrup	Proportion	Births in the past 3 years
Received medical assistance during delivery	Proportion	Births in the past 3 years
Received postpartum check-up	Proportion	Noninstitutional births in the past 3 years
Had diarrhoea in the past 2 weeks	Proportion	Children under 3 years
Treated with ORS packets	Proportion	Children under 3 with diarrhoea in past 2 weeks
Taken to a health facility/provider for diarrhoea	Proportion	Children under 3 with diarrhoea in past 2 weeks
Showing a vaccination card	Proportion	Children 12–23 months
Received BCG vaccination	Proportion	Children 12–23 months
Received DPT vaccination (3 doses)	Proportion	Children 12–23 months
Received polio vaccination (3 doses)	Proportion	Children 12–23 months
Received measles vaccination	Proportion	Children 12–23 months
Fully vaccinated	Proportion	Children 12–23 months
Received Vitamin A	Proportion	Children 12–35 months
Had reproductive health problem	Proportion	Currently married women 15–49
Not involved in any decisionmaking	Proportion	Ever-married women 15–49
Ever beaten or physically mistreated	Proportion	Ever-married women 15–49
Not worked in past 12 months	Proportion	Ever-married women 15–49
Anaemic women	Proportion	Ever-married women 15–49
Anaemic children	Proportion	Children age 6–35 months
Fertility rates	Rate	All women, population
Mortality rates	Rate	Births, population

Table C.2 Sampling errors, India, 1998–99

Variable/ residence	Value (R)	Standard error (SE)	Number of cases		Standard error assuming SRS (SER)	Design effect (DEFT)	Relative standard error (SE/R)	Confidence limits	
			Unweighted (N)	Weighted (WN)				R-2SE	R+2SE
Sex ratio (<i>De facto</i> household population)									
Urban	936	5.626	79447	66790	3.687	1.526	0.006	925	947
Rural	968	3.517	169322	181223	2.649	1.327	0.004	961	975
Total	960	2.995	248769	248014	2.154	1.391	0.003	954	966
Illiterate (<i>De facto</i> household population age 6 and above)									
Urban	0.199	0.006	136015	113959	0.001	5.178	0.028	0.188	0.210
Rural	0.433	0.004	282860	303095	0.001	4.278	0.009	0.425	0.441
Total	0.369	0.004	418875	417055	0.001	5.262	0.011	0.361	0.377
Have tuberculosis (1,000 <i>de jure</i> household population)									
Urban	3.898	0.252	155344	130336	0.158	1.595	0.065	3.393	4.402
Rural	6.002	0.212	336709	360764	0.133	1.594	0.035	5.578	6.427
Total	5.444	0.171	492053	491100	0.105	1.632	0.031	5.101	5.786
Salt iodized at 15ppm or more (Households)									
Large city	0.768	0.014	11682	6745	0.004	3.527	0.018	0.740	0.795
Small city	0.677	0.022	5850	7393	0.006	3.559	0.032	0.633	0.720
Town	0.665	0.018	12903	11106	0.004	4.228	0.026	0.630	0.700
Rural	0.416	0.007	60761	65953	0.002	3.378	0.016	0.402	0.429
Total	0.493	0.006	91196	91196	0.002	3.812	0.013	0.481	0.506
Illiterate (Ever-married women age 15-49)									
Urban	0.332	0.010	27862	23370	0.003	3.391	0.029	0.313	0.351
Rural	0.670	0.005	61337	65829	0.002	2.896	0.008	0.659	0.681
Total	0.582	0.006	89199	89199	0.002	3.461	0.010	0.570	0.593
High school complete and above (Ever-married women age 15-49)									
Urban	0.328	0.010	27862	23370	0.003	3.383	0.029	0.309	0.347
Rural	0.077	0.003	61337	65829	0.001	2.332	0.033	0.072	0.082
Total	0.143	0.004	89199	89199	0.001	3.275	0.027	0.135	0.150
Currently married women (Ever-married women age 15-49)									
Urban	0.937	0.002	27862	23370	0.001	1.462	0.002	0.932	0.941
Rural	0.938	0.001	61337	65829	0.001	1.485	0.002	0.935	0.941
Total	0.938	0.001	89199	89199	0.001	1.486	0.001	0.935	0.940
Number of children ever born (Currently married women age 15-49)									
Urban	2.703	0.029	26132	21888	0.012	2.445	0.011	2.645	2.761
Rural	3.110	0.016	57700	61761	0.009	1.741	0.005	3.078	3.142
Total	3.004	0.014	83832	83649	0.007	1.947	0.005	2.975	3.032
Number of children surviving (Currently married women age 15-49)									
Urban	2.458	0.025	26132	21888	0.010	2.371	0.010	2.408	2.507
Rural	2.672	0.012	57700	61761	0.008	1.606	0.005	2.647	2.696
Total	2.616	0.011	83832	83649	0.006	1.806	0.004	2.593	2.638
Have ever used any method (Currently married women age 15-49)									
Urban	0.672	0.007	26132	21888	0.003	2.256	0.010	0.659	0.685
Rural	0.508	0.006	57700	61761	0.002	2.723	0.011	0.497	0.520
Total	0.551	0.005	83832	83649	0.002	2.757	0.009	0.542	0.561

Table C.2 Sampling errors, India, 1998–99 (contd.)

Variable/ residence	Value (R)	Standard error (SE)	Number of cases		Standard error assuming SRS (SER)	Design effect (DEFT)	Relative standard error (SE/R)	Confidence limits	
			Unweighted (N)	Weighted (WN)				R-2SE	R+2SE
Currently using any method (Currently married women age 15–49)									
Urban	0.582	0.007	26132	21888	0.003	2.138	0.011	0.569	0.595
Rural	0.447	0.006	57700	61761	0.002	2.662	0.012	0.436	0.458
Total	0.482	0.005	83832	83649	0.002	2.644	0.009	0.473	0.491
Currently using any modern method (Currently married women age 15–49)									
Urban	0.512	0.007	26132	21888	0.003	2.128	0.013	0.499	0.525
Rural	0.399	0.005	57700	61761	0.002	2.688	0.014	0.388	0.410
Total	0.428	0.005	83832	83649	0.002	2.644	0.011	0.419	0.438
Currently using pills (Currently married women age 15–49)									
Urban	0.027	0.002	26132	21888	0.001	1.583	0.059	0.024	0.030
Rural	0.019	0.001	57700	61761	0.001	1.975	0.060	0.016	0.021
Total	0.021	0.001	83832	83649	0.000	1.871	0.044	0.019	0.023
Currently using IUD (Currently married women age 15–49)									
Urban	0.035	0.002	26132	21888	0.001	1.597	0.052	0.031	0.039
Rural	0.010	0.001	57700	61761	0.000	1.342	0.056	0.009	0.011
Total	0.016	0.001	83832	83649	0.000	1.521	0.041	0.015	0.018
Currently using condoms (Currently married women age 15–49)									
Urban	0.072	0.003	26132	21888	0.002	1.906	0.042	0.066	0.078
Rural	0.016	0.001	57700	61761	0.001	1.485	0.048	0.014	0.018
Total	0.031	0.001	83832	83649	0.001	1.824	0.035	0.029	0.033
Currently using female sterilization (Currently married women age 15–49)									
Urban	0.360	0.007	26132	21888	0.003	2.485	0.020	0.346	0.375
Rural	0.335	0.005	57700	61761	0.002	2.641	0.015	0.325	0.345
Total	0.342	0.004	83832	83649	0.002	2.625	0.013	0.333	0.350
Currently using male sterilization (Currently married women age 15–49)									
Urban	0.018	0.001	26132	21888	0.001	1.672	0.076	0.015	0.021
Rural	0.019	0.001	57700	61761	0.001	2.124	0.063	0.017	0.022
Total	0.019	0.001	83832	83649	0.000	2.052	0.051	0.017	0.021
Currently using rhythm/safe period (Currently married women age 15–49)									
Urban	0.039	0.002	26132	21888	0.001	1.792	0.055	0.034	0.043
Rural	0.027	0.001	57700	61761	0.001	1.836	0.046	0.025	0.030
Total	0.030	0.001	83832	83649	0.001	1.821	0.036	0.028	0.032
Using public source for modern method (Current users of modern methods)									
Urban	0.601	0.010	13343	11213	0.004	2.342	0.017	0.581	0.621
Rural	0.832	0.005	22504	24628	0.002	2.066	0.006	0.822	0.843
Total	0.760	0.005	35847	35841	0.002	2.357	0.007	0.749	0.771
Do not want any more children (Currently married women age 15–49)									
Urban	0.322	0.007	26132	21888	0.003	2.468	0.022	0.307	0.336
Rural	0.259	0.004	57700	61761	0.002	2.100	0.015	0.251	0.267
Total	0.275	0.003	83832	83649	0.002	2.221	0.012	0.268	0.282
Want to delay birth at least two years (Currently married women age 15–49)									
Urban	0.115	0.003	26132	21888	0.002	1.449	0.025	0.109	0.120
Rural	0.139	0.002	57700	61761	0.001	1.5325	0.016	0.135	0.144
Total	0.133	0.002	83832	83649	0.001	1.540	0.014	0.129	0.137

Table C.2 Sampling errors, India, 1998–99 (contd.)

Variable/ residence	Value (R)	Standard error (SE)	Number of cases		Standard error assuming SRS (SER)	Design effect (DEFT)	Relative standard error (SE/R)	Confidence limits	
			Unweighted (N)	Weighted (WN)				R-2SE	R+2SE
Ideal number of children (Ever-married women age 15–49)									
Urban	2.317	0.017	26725	22041	0.005	3.265	0.007	2.282	2.351
Rural	2.771	0.014	57767	60956	0.005	3.176	0.005	2.742	2.800
Total	2.650	0.012	84492	82996	0.004	3.379	0.005	2.626	2.675
Ideal number of sons (Ever-married women age 15–49)									
Urban	1.063	0.017	26706	22027	0.005	3.458	0.016	1.030	1.096
Rural	1.469	0.012	57727	60911	0.004	3.278	0.008	1.445	1.494
Total	1.361	0.011	84433	82939	0.003	3.499	0.008	1.340	1.383
Ideal number of daughters (Ever-married women age 15–49)									
Urban	0.819	0.010	26706	22027	0.004	2.888	0.013	0.798	0.840
Rural	1.018	0.007	57727	60911	0.003	2.465	0.006	1.005	1.032
Total	0.965	0.006	84433	82939	0.002	2.696	0.006	0.954	0.977
Visited by health/family planning worker (Ever-married women age 15–49)									
Urban	0.100	0.006	27862	23370	0.002	3.535	0.064	0.087	0.113
Rural	0.140	0.004	61337	65829	0.001	2.838	0.028	0.132	0.148
Total	0.130	0.003	89199	89199	0.001	3.016	0.026	0.123	0.136
Received no antenatal check-up (Births in past 3 years)									
Urban	0.136	0.009	8498	7191	0.004	2.303	0.069	0.117	0.155
Rural	0.398	0.009	24224	25202	0.003	2.536	0.022	0.381	0.416
Total	0.340	0.008	32722	32393	0.003	2.665	0.022	0.325	0.355
Received iron and folic acid tablets or syrup (Births in past 3 years)									
Urban	0.757	0.011	8498	7191	0.005	2.412	0.015	0.734	0.779
Rural	0.525	0.008	24224	25202	0.003	2.578	0.016	0.508	0.541
Total	0.576	0.007	32722	32393	0.003	2.670	0.013	0.562	0.591
Received medical assistance during delivery (Births in past 3 years)									
Urban	0.733	0.012	8498	7191	0.005	2.278	0.016	0.709	0.757
Rural	0.335	0.007	24224	25202	0.003	2.198	0.022	0.321	0.350
Total	0.423	0.007	32722	32393	0.003	2.455	0.017	0.409	0.438
Received postpartum check-up (Noninstitutional births in past 3 years)									
Urban	0.196	0.013	2856	2495	0.007	1.730	0.066	0.170	0.222
Rural	0.161	0.005	18425	18896	0.003	1.923	0.032	0.151	0.171
Total	0.165	0.005	21281	21391	0.003	1.900	0.029	0.155	0.175
Had diarrhoea in the past 2 weeks (Children under 3 years)									
Urban	0.196	0.008	8007	6768	0.004	1.766	0.040	0.180	0.211
Rural	0.190	0.004	22227	23096	0.003	1.543	0.021	0.182	0.199
Total	0.192	0.004	30234	29864	0.002	1.594	0.019	0.184	0.199
Treated with ORS packets (Children under 3 with diarrhoea in past 2 weeks)									
Urban	0.327	0.017	1567	1324	0.012	1.398	0.051	0.294	0.361
Rural	0.250	0.009	4466	4397	0.007	1.293	0.036	0.233	0.268
Total	0.268	0.008	6033	5721	0.006	1.323	0.029	0.252	0.284
Taken to a health facility/provider for diarrhoea (Children under 3 with diarrhoea in past 2 weeks)									
Urban	0.752	0.013	1567	1324	0.011	1.175	0.017	0.726	0.778
Rural	0.599	0.010	4466	4397	0.008	1.282	0.017	0.579	0.619
Total	0.634	0.009	6033	5721	0.006	1.328	0.014	0.617	0.651

Table C.2 Sampling errors, India, 1998–99 (contd.)

Variable/ residence	Value (R)	Standard error (SE)	Number of cases		Standard error assuming SRS (SER)	Design effect (DEFT)	Relative standard error (SE/R)	Confidence limits	
			Unweighted (N)	Weighted (WN)				R-2SE	R+2SE
Showing a vaccination card (Children 12–23 months)									
Urban	0.459	0.014	2707	2282	0.010	1.478	0.031	0.431	0.488
Rural	0.301	0.008	7404	7795	0.005	1.561	0.028	0.284	0.318
Total	0.337	0.007	10111	10076	0.005	1.573	0.022	0.322	0.352
Received BCG vaccination (Children 12–23 months)									
Urban	0.868	0.010	2707	2282	0.007	1.553	0.012	0.847	0.888
Rural	0.671	0.009	7404	7795	0.006	1.702	0.014	0.652	0.690
Total	0.716	0.008	10111	10076	0.005	1.772	0.011	0.700	0.732
Received DPT vaccination (3 doses) (Children 12–23 months)									
Urban	0.734	0.015	2707	2282	0.009	1.733	0.020	0.704	0.763
Rural	0.498	0.010	7404	7795	0.006	1.761	0.021	0.477	0.519
Total	0.551	0.009	10111	10076	0.005	1.831	0.017	0.533	0.570
Received polio vaccination (3 doses) (Children 12–23 months)									
Urban	0.782	0.012	2707	2282	0.008	1.529	0.016	0.758	0.807
Rural	0.583	0.010	7404	7795	0.006	1.671	0.017	0.563	0.602
Total	0.628	0.008	10111	10076	0.005	1.730	0.013	0.611	0.645
Received measles vaccination (Children 12–23 months)									
Urban	0.692	0.015	2707	2282	0.009	1.683	0.022	0.662	0.722
Rural	0.453	0.010	7404	7795	0.006	1.744	0.023	0.432	0.473
Total	0.507	0.009	10111	10076	0.005	1.799	0.018	0.489	0.525
Fully vaccinated (Children 12–23 months)									
Urban	0.605	0.016	2707	2282	0.009	1.749	0.027	0.572	0.637
Rural	0.366	0.010	7404	7795	0.006	1.799	0.028	0.346	0.387
Total	0.420	0.009	10111	10076	0.005	1.850	0.022	0.402	0.439
Received Vitamin A (Children 12–35 months)									
Urban	0.387	0.013	5425	4559	0.007	1.840	0.032	0.365	0.413
Rural	0.270	0.007	14732	15331	0.004	1.976	0.028	0.255	0.285
Total	0.297	0.007	20157	19889	0.003	1.983	0.022	0.284	0.310
Had reproductive health problem (Currently married women 15–49)									
Urban	0.367	0.008	26132	21888	0.003	2.546	0.021	0.352	0.382
Rural	0.401	0.004	57700	61761	0.002	2.172	0.011	0.392	0.410
Total	0.392	0.004	83832	83649	0.002	2.278	0.010	0.384	0.400
Not involved in any decisionmaking (Ever-married women age 15–49)									
Urban	0.071	0.003	27862	23370	0.002	1.956	0.042	0.065	0.077
Rural	0.103	0.003	61337	65829	0.001	2.076	0.025	0.097	0.108
Total	0.094	0.002	89199	89199	0.001	2.107	0.022	0.090	0.098
Ever beaten or physically mistreated (Ever-married women age 15–49)									
Urban	0.168	0.005	27862	23370	0.002	2.364	0.031	0.158	0.179
Rural	0.225	0.003	61337	65829	0.002	2.049	0.015	0.218	0.232
Total	0.210	0.003	89199	89199	0.001	2.163	0.014	0.204	0.216
Not worked in past 12 months (Ever-married women age 15–49)									
Urban	0.744	0.007	27862	23370	0.003	2.726	0.010	0.730	0.758
Rural	0.560	0.007	61337	65829	0.002	3.573	0.013	0.546	0.575
Total	0.608	0.006	89199	89199	0.002	3.607	0.010	0.597	0.620

Table C.2 Sampling errors, India, 1998–99 (contd.)

Variable/ residence	Value (R)	Standard error (SE)	Number of cases		Standard error assuming SRS (SER)	Design effect (DEFT)	Relative standard error (SE/R)	Confidence limits	
			Unweighted (N)	Weighted (WN)				R-2SE	R+2SE
Anaemic women (Ever-married women age 15–49)									
Urban	0.457	0.007	24850	20872	0.003	2.067	0.014	0.444	0.470
Rural	0.539	0.005	54813	58791	0.002	2.220	0.009	0.530	0.549
Total	0.518	0.004	79663	79663	0.002	2.245	0.008	0.510	0.526
Anaemic children (Children age 6–35 months)									
Urban	0.708	0.010	5565	4642	0.006	1.571	0.014	0.689	0.727
Rural	0.753	0.006	14892	15374	0.004	1.633	0.008	0.742	0.765
Total	0.743	0.005	20457	20016	0.003	1.622	0.007	0.733	0.753

Table C.2 Sampling errors, India, 1998–99 (contd.)

Variable/ residence	Value (R)	Standard error (SE)	Relative standard error (SE/R)	Confidence limits	
				R-2SE	R+2SE
Total fertility rate (Women age 15–49)					
Urban	2.272	0.037	0.016	2.198	2.346
Rural	3.075	0.031	0.010	3.013	3.136
Total	2.849	0.024	0.009	2.800	2.897
Age-specific fertility rate (Women age 15–19)					
Urban	0.068	0.003	0.038	0.063	0.073
Rural	0.121	0.002	0.016	0.117	0.125
Total	0.107	0.002	0.015	0.104	0.110
Age-specific fertility rate (Women age 20–24)					
Urban	0.179	0.003	0.018	0.173	0.185
Rural	0.222	0.002	0.011	0.218	0.227
Total	0.210	0.002	0.009	0.207	0.214
Age-specific fertility rate (Women age 25–29)					
Urban	0.127	0.003	0.025	0.121	0.134
Rural	0.150	0.003	0.017	0.145	0.155
Total	0.143	0.002	0.014	0.139	0.147
Age-specific fertility rate (Women age 30–34)					
Urban	0.057	0.003	0.047	0.052	0.063
Rural	0.075	0.002	0.029	0.070	0.079
Total	0.069	0.002	0.025	0.066	0.073
Age-specific fertility rate (Women age 35–39)					
Urban	0.018	0.002	0.086	0.015	0.022
Rural	0.033	0.002	0.047	0.030	0.036
Total	0.028	0.001	0.041	0.026	0.031
Age-specific fertility rate (Women age 40–44)					
Urban	0.003	0.001	0.211	0.002	0.005
Rural	0.011	0.001	0.083	0.009	0.012
Total	0.008	0.001	0.078	0.007	0.010
Age-specific fertility rate (Women age 45–49)					
Urban	0.001	0.000	0.534	0.000	0.002
Rural	0.004	0.001	0.197	0.002	0.005
Total	0.003	0.001	0.186	0.002	0.004

Table C.2 Sampling errors, India, 1998–99 (contd.)					
Variable/ residence	Value (R)	Standard error (SE)	Relative standard error (SE/R)	Confidence limits	
				R-2SE	R+2SE
Neonatal mortality (5-year period preceding survey)					
Urban	31.653	2.007	0.063	27.639	35.667
Rural	46.706	1.301	0.028	44.104	49.309
Total	43.397	1.114	0.026	41.168	45.626
Infant mortality ${}_1q_0$ (5-year period preceding survey)					
Urban	47.026	2.393	0.051	42.239	51.812
Rural	73.344	1.664	0.023	70.015	76.673
Total	67.569	1.421	0.021	64.727	70.411
Child mortality ${}_4q_1$ (5-year period preceding survey)					
Urban	16.896	1.383	0.082	14.130	19.662
Rural	32.759	1.123	0.034	30.513	35.005
Total	29.256	0.940	0.032	27.377	31.136
Under-five mortality ${}_5q_0$ (5-year period preceding survey)					
Urban	63.127	2.884	0.046	57.359	68.895
Rural	103.701	2.061	0.020	99.578	107.824
Total	94.848	1.771	0.019	91.307	98.390
Crude death rate (Based on household questionnaire)					
Urban	7.833	0.271	0.035	7.291	8.375
Rural	10.376	0.278	0.027	9.820	10.933
Total	9.698	0.250	0.026	9.198	10.197
Crude birth rate (Based on birth history)					
Urban	20.859	0.336	0.016	20.187	21.531
Rural	26.218	0.230	0.009	25.758	26.678
Total	24.799	0.191	0.008	24.417	25.181
Maternal Mortality Ratio (100,000 live births)					
Urban	267.154	78.934	0.295	109.287	425.021
Rural	618.814	68.492	0.111	481.830	755.798
Total	540.361	56.193	0.104	427.975	652.746
SRS: Simple random sample					

APPENDIX D

DATA QUALITY TABLES

The purpose of this appendix is to provide the data user with an overview of the general quality of the NFHS-2 data. Whereas Appendix C is concerned with sampling errors and their effects on the survey results, the tables in this appendix refer to possible *nonsampling* errors: for example, rounding or heaping on certain ages or dates; omission of events occurring further in the past; deliberate distortion of information by some interviewers in an attempt to lighten their work load; noncooperation of the respondent in providing information; or refusal to have children measured for height and weight or tested for anaemia. A description of the likely magnitude of such nonsampling errors is provided in this appendix.

The distribution of the *de facto* household population by single years of age and sex is presented in Table D.1. In many (but not all) cases, the respondent was the head of the household. It is well documented that ages are poorly reported in most parts of India. Ages are of little relevance to much of the rural population in particular, and no amount of probing will ensure that ages are properly recorded. In interviewer training for NFHS-2, a great deal of emphasis was placed on obtaining as accurate information as possible on ages and dates of events. Nevertheless, it is clear that age reporting in NFHS-2 shares the same problems inherent in all Indian censuses and surveys. Heaping on ages ending in 0, 2, 5, and 8 is considerable and is particularly severe in the older age groups. However, the NFHS-2 age data are evidently of considerably better quality than age data from other sources. This can be seen, for example, by comparing the degree of age heaping in NFHS-2 with that in the 1991 Census. Age reporting appears to be better in NFHS-2 than in the 1991 Census, particularly at the young adult ages. Another measure of the quality of the NFHS-2 age data is the percentage of persons whose ages were recorded as not known or missing. In the country as a whole, information on age was missing for only 70 persons out of 486,011 persons listed on the household schedules.

Table D.2 examines the possibility that some eligible women (that is, ever-married women age 15–49) were not properly identified in NFHS-2. In some surveys, interviewers may try to reduce their workload by pushing women out of the eligible age range or recording ever-married women as never married so that they will not have to be interviewed. If such practices were being followed to a noticeable extent, Table D.2 would normally show (1) a shortage of ever-married women in the 45–49 age group and an excess in the 50–54 age group or (2) an unusually low proportion of ever-married women by age. Neither of these patterns is evident in the NFHS-2 data. It can, therefore, be concluded that there was no concerted effort to misidentify eligible women in NFHS-2.

One traditional measure of the quality of data is the extent to which information is missing on key variables. Although completeness of responses does not necessarily indicate that the results are accurate, the existence of missing information for a large number of cases would suggest that data collection was not carried out with sufficient care. In NFHS-2 for India as a whole, the extent of missing information is very low for age at death, age at first marriage, woman's education, and prevalence of diarrhoea in the two weeks preceding the survey (Table D.3). Month of birth only was missing for less than 4 percent of children; however, the year is reported in almost every case in which the month is missing. Data on height and weight of

Table D.1 Household age distribution

Single-year age distribution of *de facto* household population by sex (weighted), India, 1998–99

Age	Male		Female		Age	Male		Female	
	Number	Percent	Number	Percent		Number	Percent	Number	Percent
< 1	5,960	2.4	5,416	2.3	38	2,867	1.2	2,908	1.2
1	5,471	2.2	5,112	2.1	39	1,207	0.5	1,535	0.6
2	5,602	2.3	5,323	2.2	40	7,330	3.0	4,942	2.1
3	5,454	2.2	5,297	2.2	41	938	0.4	1,317	0.6
4	6,276	2.5	5,792	2.4	42	2,348	0.9	2,293	1.0
5	7,067	2.8	6,187	2.6	43	938	0.4	1,326	0.6
6	6,615	2.7	6,152	2.6	44	1,006	0.4	1,199	0.5
7	6,048	2.4	5,682	2.4	45	6,003	2.4	4,247	1.8
8	7,177	2.9	6,558	2.8	46	1,204	0.5	1,465	0.6
9	5,228	2.1	4,851	2.0	47	993	0.4	1,284	0.5
10	7,616	3.1	6,839	2.9	48	1,859	0.7	1,903	0.8
11	4,724	1.9	4,352	1.8	49	913	0.4	862	0.4
12	7,475	3.0	6,632	2.8	50	4,823	1.9	2,048	0.9
13	4,950	2.0	4,964	2.1	51	751	0.3	1,030	0.4
14	5,594	2.3	5,096	2.1	52	1,444	0.6	1,902	0.8
15	5,783	2.3	5,255	2.2	53	638	0.3	1,144	0.5
16	5,514	2.2	5,387	2.3	54	654	0.3	1,047	0.4
17	4,240	1.7	4,082	1.7	55	3,361	1.4	3,940	1.7
18	6,706	2.7	6,346	2.7	56	893	0.4	1,153	0.5
19	3,370	1.4	3,691	1.6	57	518	0.2	702	0.3
20	5,966	2.4	6,868	2.9	58	1,192	0.5	1,300	0.5
21	3,088	1.2	3,195	1.3	59	519	0.2	507	0.2
22	5,201	2.1	5,260	2.2	60	4,884	2.0	4,733	2.0
23	3,219	1.3	3,542	1.5	61	446	0.2	460	0.2
24	3,298	1.3	3,627	1.5	62	978	0.4	1,044	0.4
25	6,678	2.7	7,047	3.0	63	453	0.2	465	0.2
26	3,590	1.4	3,771	1.6	64	403	0.2	412	0.2
27	2,799	1.1	3,008	1.3	65	3,252	1.3	3,246	1.4
28	4,296	1.7	4,630	1.9	66	433	0.2	402	0.2
29	1,828	0.7	2,295	1.0	67	361	0.1	293	0.1
30	7,879	3.2	7,187	3.0	68	600	0.2	545	0.2
31	1,419	0.6	1,869	0.8	69	303	0.1	220	0.1
32	3,821	1.5	3,613	1.5	70+	8,054	3.2	6,581	2.8
33	1,573	0.6	1,944	0.8	Don't				
34	1,730	0.7	2,088	0.9	know/				
35	8,490	3.4	6,410	2.7	missing	33	0.0	37	0.0
36	2,252	0.9	2,468	1.0					
37	1,418	0.6	1,664	0.7	Total	248,014	100.0	237,997	100.0

Note: The *de facto* population includes residents and nonresidents who stayed in the household the night before the interview.

children and woman's haemoglobin level are available for more than 85 percent of the members of the respective reference groups. Missing information is highest (21 percent) for children's haemoglobin level. The response rates are acceptable for the height and weight and child's haemoglobin level since in any survey many children cannot be measured because they are not at home or they are ill at the time of the survey. In some cases when the child was at home, either the child refused to be measured or the mother refused to allow the child to be measured because of cultural beliefs. Before undertaking haemoglobin measurements, a separate 'informed consent' statement was read to the respondent explaining that participation in the haemoglobin testing was completely voluntary. At this point, some women declined to take part in the anaemia testing and/or to have their children participate.

Table D.2 Age distribution of eligible and interviewed women

Percent distribution of the *de facto* household population of women age 10–54 and of interviewed women age 15–49 and percentage of eligible women who were interviewed (weighted), India, 1998–99

Age	All women	Ever-married women	Interviewed women		Percent interviewed
			Number	Percent	
10–14	27,885	187	NA	NA	NA
15–19	24,762	7,436	7,044	7.6	94.7
20–24	22,491	17,564	16,762	18.2	95.4
25–29	20,752	19,582	18,834	20.4	96.2
30–34	16,700	16,353	15,763	17.1	96.4
35–39	14,986	14,792	14,240	15.4	96.3
40–44	11,078	10,955	10,508	11.4	95.9
45–49	9,762	9,683	9,167	9.9	94.7
50–54	7,171	7,127	NA	NA	NA
15–49	120,531	96,364	92,318	100.0	95.8

Note: The *de facto* population includes all residents and nonresidents who stayed in the household the night before the interview. For all columns, the age distribution is taken from ages reported on the Household Questionnaire. The total number of interviewed women in this table differs from the total number in earlier tables because this table uses household weights rather than women's weights for the calculations.
NA: Not applicable

Table D.3 Completeness of reporting

Percentage of observations with missing information for selected demographic and health questions (weighted), India, 1998–99

Subject	Reference group	Percentage missing information	Number of cases
Birth date	Births in past 15 years		
Month only		3.76	173,724
Month and year		0.12	173,724
Age at death	Deaths to births in past 15 years	0.87	18,457
Age at first marriage	Ever-married women age 15–49	0.30	89,199
Woman's education	Ever-married women age 15–49	0.04	89,199
Anthropometry	Living children age 0–35 months		
Height		13.05	30,372
Weight		13.12	30,372
Height or weight		13.58	30,372
Woman's haemoglobin level	Ever-married women age 15–49	11.65	89,199
Child's haemoglobin level	Living children age 6–35 months	20.92	24,846
Diarrhoea in past 2 weeks	Living children age 1–35 months	0.27	29,864

Another measure of data quality is the completeness and accuracy of information on births. Table D.4 examines the distribution of births by calendar year to identify any unusual patterns which may indicate that births have been omitted or that the ages of children have been displaced. Overall, 96 percent of living children listed in the birth history had complete birth dates recorded, as did 88 percent of children who had died. The completeness of data on birth dates for living children is good overall and is excellent in recent years. The completeness for nonsurviving children is less satisfactory, but again better in the past few years. The annual data on the number of births can be examined to see if there is an abnormally large decline in the number of births after January of the third calendar year before the survey. The cutoff point for the health questions and measurements made on young children was 1 January 1995 for surveys that began in 1998 and 1 January 1996 for surveys that began in 1999. It is typical for the annual number of births to fluctuate somewhat, so small annual fluctuations are to be expected. However, a drop in the annual number of births between the years just before the cutoff point and the years just after the cutoff point (particularly for nonsurviving children) suggests that there has been some omission of recent births or displacement of birth dates that could result in an underestimate of fertility rates for recent years.

Many surveys that include both demographic information and health information for children below a specified age have been subject to a substantial amount of age displacement. In particular, there is often a tendency for interviewers to ‘age’ children out of the eligible period for asking health questions. This problem was well known before NFHS-2 began; therefore, interviewer training stressed this issue to try to reduce the extent of biases due to age displacement. Apparently, the training was not entirely successful in avoiding this type of problem, however. The 14 percent decline in the number of births between 1994 and 1995 (and the much larger percent decline between those two years in the number of children who died by the time of the survey) must be partly due to the omission of births in 1995 and/or the displacement of births from 1995 to earlier years. This type of error is likely to result in some degree of underestimation in recent fertility and infant mortality rates.

Table D.5 presents information on the reporting of age at death in days. Results from the table suggest that early infant deaths have not been seriously underreported in NFHS-2, because the ratios of deaths under seven days to all neonatal deaths are quite high (a ratio of less than 25 percent is often used as a guideline to indicate underreporting of early neonatal deaths). The ratios decline slightly over time, from 74 in the period 0–4 years preceding the survey to 70 in the period 10-14 years preceding the survey. Although there was no severe underreporting of early neonatal deaths in NFHS-2, there was some misreporting of age at death due to a preference for reporting the age at death at 3, 5, 8, 10, 12, 15, 18, 20, 25, and 30 days (Table D.5).

Table D.6 shows the percentage of infant deaths that occurred during the neonatal period. These percentages are also quite high and nearly constant over time, suggesting that there is no major omission of neonatal deaths.

Table D.4 Births by calendar year

Number of births, percent with complete birth date, sex ratio at birth, and calendar year ratio for children still alive at the time of the survey (L), children who died by the time of the survey (D), and total children (T), by calendar year (weighted), India, 1998–99

Calendar year	Number of births			Percent with complete birth date ¹			Sex ratio at birth ²			Calendar year ratio ³		
	L	D	T	L	D	T	L	D	T	L	D	T
1999	1,741	60	1,801	100.0	99.2	100.0	905	520	889	NA	NA	NA
1998	10,647	665	11,312	99.7	98.1	99.6	898	961	901	NC	NC	NC
1997	9,913	696	10,609	99.2	97.3	99.0	958	879	952	NC	NC	NC
1996	9,732	809	10,541	98.9	95.6	98.7	945	1,044	953	97.9	102.6	98.2
1995	9,977	880	10,857	98.2	93.5	97.8	938	1,018	944	95.5	78.3	93.8
1994	11,169	1,440	12,609	97.5	93.4	97.0	922	1,118	942	103.0	130.0	105.6
1993	11,699	1,335	13,035	97.4	92.0	96.9	901	951	906	103.4	92.2	102.1
1992	11,471	1,458	12,929	96.9	92.8	96.5	930	994	937	103.6	112.8	104.6
1991	10,436	1,249	11,685	96.3	90.0	95.7	922	996	930	90.4	85.6	89.8
1990	11,625	1,461	13,086	96.3	90.3	95.6	935	1,032	945	116.0	112.1	115.6
1989	9,600	1,357	10,957	95.8	89.5	95.0	926	938	928	83.2	92.5	84.2
1988	11,465	1,475	12,940	95.6	89.5	94.9	900	1,049	916	124.6	107.9	122.4
1993–97	52,490	5,161	57,651	98.2	93.9	97.8	931	1,011	938	NA	NA	NA
1988–92	54,596	7,001	61,597	96.2	90.4	95.6	923	1,003	931	NA	NA	NA
1983–87	45,988	7,146	53,134	94.8	87.6	93.8	925	965	930	NA	NA	NA
1978–82	35,973	6,644	42,616	93.9	86.4	92.7	903	972	914	NA	NA	NA
1977 or earlier	30,280	8,492	38,772	91.8	82.0	89.6	876	877	876	NA	NA	NA
All	231,715	35,168	266,883	95.6	87.7	94.6	914	957	920	NA	NA	NA

NA: Not applicable

NC: Not calculated because full-year data were not collected for 1998 and 1999 (the survey began during 1998)

¹ Both year and month of birth given

² $(B_f/B_m) \times 1000$, where B_f and B_m are the numbers of female and male births, respectively

³ $[2B_x / (B_{x-1} + B_{x+1})] \times 100$, where B_x is the number of births in calendar year x

Table D.5 Reporting of age at death in days				
Distribution of reported deaths under 1 month of age by age at death in days and the percentage of neonatal deaths reported to occur at age 0–6 days, for births occurring during five-year periods preceding the survey (weighted), India, 1998–99				
Age at death (days)	Years preceding survey			
	0–4	5–9	10–14	0–14
< 1	625	827	675	2,127
1	401	539	502	1,442
2	169	242	255	666
3	243	285	243	772
4	95	120	144	359
5	115	151	159	424
6	110	127	145	382
7	85	118	140	343
8	98	152	144	393
9	33	66	56	154
10	51	80	88	219
11	36	33	33	102
12	38	51	58	148
13	13	33	32	78
14	16	14	16	46
15	110	145	147	401
16	4	8	22	35
17	9	11	12	31
18	18	19	14	51
19	5	7	11	23
20	33	47	43	124
21	13	28	21	62
22	11	15	20	46
23	2	0	4	6
24	6	11	9	26
25	13	10	17	40
26	2	2	1	5
27	2	2	5	9
28	4	4	10	18
29	3	1	7	11
30	14	7	13	33
Missing	3	5	3	12
0–30	2,376	3,155	3,045	8,576
Percent early neonatal ¹	74.0	72.6	69.7	72.0

¹Deaths during the first 6 days divided by deaths during the first 30 days

One problem that is inherent in most retrospective surveys is heaping of the age at death on certain digits, e.g., 6, 12, and 18 months. Misreporting of age at death will bias estimates of the age pattern of mortality if the net result of misreporting is the transference of deaths between age segments for which the rates are calculated. For example, an overestimate of child mortality relative to infant mortality may result if children dying during the first year of life are reported as having died at age one or older. Thus, heaping at 12 months can bias the mortality estimates because a certain fraction of these deaths, which are reported to have occurred after infancy (that is, at ages 12–23 months), may have actually occurred during infancy (that is, at ages 0–11 months). In this case, heaping would bias the infant mortality rate downward and the child mortality rate upward.

Examination of the distribution of deaths under age two years during the 15 years before the survey by month of death (Table D.6) indicates there is some heaping of deaths at 6, 12, and

Table D.6 Reporting of age at death in months				
Distribution of reported deaths under two years of age by age at death in months and the percentage of infant deaths reported to occur at age under one month, for births occurring during five-year periods preceding the survey (weighted), India, 1998–99				
Age at death (months)	Years preceding survey			
	0–4	5–9	10–14	0–14
< 1	2,379	3,160	3,048	8,588
1	237	343	375	956
2	161	223	244	627
3	148	181	213	542
4	95	112	99	306
5	68	109	114	290
6	140	195	183	518
7	81	102	106	290
8	89	87	91	268
9	66	99	130	294
10	57	66	90	213
11	45	73	74	191
12	181	288	333	802
13	28	41	44	114
14	20	37	45	103
15	26	39	35	101
16	11	18	15	44
17	7	8	10	25
18	51	144	127	322
19	2	5	3	11
20	10	7	6	24
21	5	4	6	15
22	3	4	8	15
23	7	9	8	24
Missing	4	3	0	7
1 year	54	95	145	294
0–11	3,566	4,750	4,767	13,082
Percent neonatal ¹	66.7	66.5	64.0	65.6

¹Deaths during the first month divided by deaths during the first year

18 months of age. The heaping at 12 months is substantial despite the strong emphasis on this problem during the training of interviewers for the NFHS-2 fieldwork. Nevertheless, even if one-third of the deaths reported at age 12 months or age one year actually occurred at less than 12 months of age, the infant mortality rate for the five years before the survey would be underestimated by about 2 percent. Therefore, the degree of heaping on 12 months and one year might lead to a slight underestimate of the postneonatal and infant mortality rates and a somewhat more substantial overestimate of the child mortality rate.

APPENDIX E

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APPENDIX F

SURVEY INSTRUMENTS

The three standard core questionnaires (Household Questionnaire, Woman's Questionnaire, and Village Questionnaire) that were used in all states are presented in this appendix. However, there were a few questions that were included only in the questionnaires in Maharashtra, Delhi, and Tamil Nadu. The specific questions were:

For Maharashtra, on the coversheet of Household Questionnaire, two additional identifiers were added for use in Mumbai only: whether the area is a slum area and whether the household is a slum household (not shown on the questionnaire presented here).

Questions 907A, 907B, 913A, and 913B were included in the Woman's Questionnaire for use only in Mumbai and Delhi to test the level of lead in the blood of children born since January 1996 to eligible women. A form showing the results of the lead testing was also added. In addition, the informed consent statement in question 901 was modified in these states to include information on lead testing.

For Maharashtra, Delhi, and Tamil Nadu, question 914 was included in the Woman's Questionnaire to determine whether the respondent would agree to be interviewed again in the future for a follow-up study.

For all the Phase I states (in which fieldwork began in 1998), the reference date for household questions on deaths (questions 51 to 62) was 1996. The reference year was changed to 1997 for Phase II states (in which fieldwork began in 1999). Similarly, the reference date for question 224, section 4A, section 4B (through question 485), question 620, section 8, and section 9 was 1995 for Phase I states and 1996 for Phase II states.